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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/669,031

09/23/2003

Masaya Okita

Soyu C-6B

1821

23474 7590 09/03/2008
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EXAMINER

PIZIALI, JEFFREY J

ART UNIT

PAPER NUMBER

2629

MAIL DATE

DELIVERY MODE

09/03/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Interview Summary	Application No. 10/669,031	Applicant(s) OKITA, MASAYA	
	Examiner JEFF PIZIALI	Art Unit 2629	

All participants (applicant, applicant's representative, PTO personnel):

(1) JEFF PIZIALI. (3) ____.

(2) Mr. Terryence F. Chapman (Reg. No. 32,549). (4) ____.

Date of Interview: 25 August 2008.

Type: a) ☒ Telephonic b) ☐ Video Conference
 c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.
 If Yes, brief description: ____.

Claim(s) discussed: 3,4,7,10,15 and 20-35.

Identification of prior art discussed: N/A.

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

/Jeff Piziali/ Examiner, Art Unit 2629	
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Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:

This application was finally rejected on 11 December 2007.

The Applicant's representative, Mr. Terryence F. Chapman (Reg. No. 32,549) requested further clarification of the Notice of Non-Compliant Amendment, Notification of Non-Compliant Appeal Brief, and Advisory Action (all mailed 20 August 2008).

Mr. Chapman alleged the "absolut'e" amendment to claim 22 (filed 21 September 2007) was inadvertent, not properly marked-up, and possibly a result of the USPTO's photocopying process.

The examiner reminded Mr. Chapman that 37 CFR 1.121 states, "The claim listing, including the text of the claims, in the amendment document will serve to replace all prior versions of the claims, in the application."

The examiner also reminded Mr. Chapman that the Advisory Action lists other reasons for not entering the amendment to the claims (filed 4 June 2008).

Mr. Chapman argued the amendment to the claims (filed 4 June 2008) should have been entered by the examiner. The examiner respectfully disagreed, at least because the amendment is not limited to canceling claims or rewriting dependent claims into independent form (as already detailed in the Advisory Action).

Apparently unsatisfied with the examiner's citations of the rules and office policy, Mr. Chapman began boasting about how the Board of Patent Appeals and Interferences was going to eventually reverse the examiner in this case.

The examiner reminded Mr. Chapman that no Appeal Brief is currently pending in the application.

Mr. Chapman is further reminded that 37 CFR 1.3 requires, "Applicants and their attorneys or agents are required to conduct their business with the United States Patent and Trademark Office with decorum and courtesy."

Mr. Chapman expressed intent to file a formal response in due time.

/Jeff Piziali/
Primary Examiner
25 August 2008

Email correspondence between the applicant's representative and the examiner (most recent email at top):

From: Terryence F. Chapman [mailto:terry.chapman@flynnthiel.com]
Sent: Friday, August 22, 2008 4:53 PM
To: Piziali, Jeff
Subject: RE: Interview Request - 10/669,031

Thanks for getting back with me. You can call me anytime Monday after 9:30AM. I just want you to explain to me what is required to respond to the three notices in your office action.

Terry Chapman

From: Piziali, Jeff [mailto:Jeff.Piziali@USPTO.GOV]
Sent: Friday, August 22, 2008 3:58 PM
To: Terryence F. Chapman
Subject: Interview Request - 10/669,031

Hi Mr. Chapman,

I received your voicemail pertaining to application 10/669,031.

Unfortunately, I have a few too many cases on my plate at the moment to review and discuss this case today.

Would it be possible to schedule an interview for some time next week? Would Monday at 9AM (EST) work for you?

If not, we could work something out later on in the day/week, if you prefer.

Feel free to suggest another date/time if you have one in mind.

Thanks. Looking forward to talking to you soon.

Best Regards,
Jeff Piziali
USPTO Examiner
Art Unit 2629
Phone: 571-272-7678
Fax: 571-273-7678